

ATTY DOCKET NO. 098056/00135 H 4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Frank M. Zizzamia et al.

U.S. Serial No.:

10/054,702

Filed:

October 23, 2001

For:

**COMMERCIAL INSURANCE SCORING
SYSTEM AND METHOD**

Examiner:

Not yet assigned

Group Art Unit:

2161

Commissioner for Patents

Washington, D.C. 20231

Attn: **Office of Initial Patent Examination's Customer Service Center**

**REQUEST FOR CORRECTION OF
NONPROVISIONAL APPLICATION FILING RECEIPT**

Sir:

Please correct the Filing Receipt in the above-indicated application as follows:

Attorney Docket Number Information on Filing Receipt (copy enclosed):

ATTY.DOCKET.NO: 098056/00086

Please change the Attorney Docket Number Information to read:

ATTY.DOCKET.NO: 098056/**00135** [correction bolded and underlined]

A copy of the Filing Receipt with the change noted thereon is enclosed. Please issue a corrected Filing Receipt to reflect the changes noted herein and on the enclosed Filing Receipt.

No fee is believed due. However, if a fee is required, you are hereby authorized to charge Deposit Account No. 50-0540.

Respectfully submitted,



John C. Garces
Reg. No. 40,616
Attorney for Applicants
Kramer Levin Naftalis & Frankel LLP
919 Third Avenue
New York, N.Y. 10022
(212) 715-9100

Date: April 16, 2002

CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR
1.10

"Express Mail" mailing label number: EV020943644US

Date of Deposit: April 16, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231,

John C. Garces, Esq. Reg. No. 40,616

Name

John C. Garces

Signature



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/054,702	10/23/2001	2161	0.00	098056/00886 N 00135	5	34	6

CONFIRMATION NO. 5389

FILING RECEIPT



OC00000007528852

KRAMER LEVIN NAFTALIS & FRANKEL LLP
 919 THIRD AVENUE
 NEW YORK, NY 10022

Date Mailed: 02/25/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Frank M. Zizzamia, Avon, CT;
 Cheng-Sheng Peter Wu, Arcadia, CA;
 Dominic A. Tocci, Chicago, IL;
 Matthew R. Carrier, Naperville, IL;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/242,633 10/23/2000

Foreign Applications

If Required, Foreign Filing License Granted 02/25/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Commercial insurance scoring system and method

Preliminary Class

705

04-17-02

#3 Receipt #
PATENT



Attorney Docket No. 098056/00135

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Frank M. Zizzamia et al. Examiner: Not Yet Assigned

Serial No.: 10/054,702 Group Art Unit : 2161

Filed: October 23, 2001

For: **COMMERCIAL INSURANCE SCORING SYSTEM AND METHOD**

April 16, 2002

REPLY TO NOTICE TO FILE MISSING PARTS
MAILED FEBRUARY 25, 2002

Commissioner for Patents
Washington, DC 20231
Attn: BOX MISSING PARTS

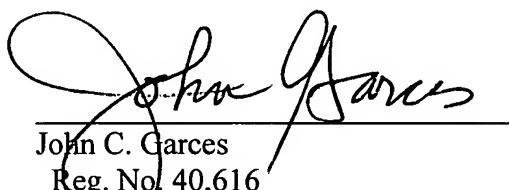
Sir:

This is in reply to the Notice to File Missing Parts of Non-Provisional Application-
Filing Date Granted (“Notice”) mailed February 25, 2002, a copy of which is enclosed. This
Reply is being submitted within the two-month shortened statutory period set for response in the
Notice.

To complete the filing requirements in the above-referenced patent application,
Applicants respectfully submit herewith a combined Declaration, Power of Attorney and Petition
signed by the inventors and a check in the amount of \$1374.00 covering the \$740.00 basic filing
fee, \$504.00 in additional claims fees and the \$130.00 surcharge.

Please charge any fee deficiency and credit any overpayment in connection with
this Reply to the undersigned attorney's Deposit Account No. 50-0540.

Respectfully submitted,



John C. Garces
Reg. No. 40,616
Attorney for Applicants
KRAMER, LEVIN, NAFTALIS & FRANKEL LLP
919 Third Avenue
New York, New York 10022
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CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR
1.10

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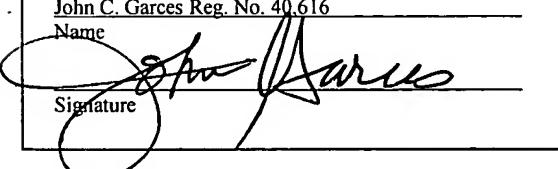
Date of Deposit: April 16, 2002

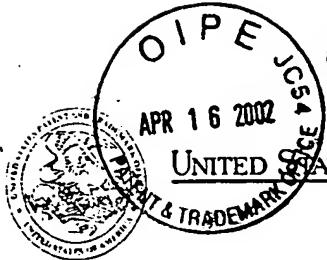
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the date indicated above and is addressed to the
Commissioner for Patents, Washington, D.C. 20231,

John C. Garces Reg. No. 40,616

Name

Signature





UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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 WASHINGTON, D.C. 20231
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XK3

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/054,702	10/23/2001	Frank M. Zizzamia	098056/00086

CONFIRMATION NO. 5389
FORMALITIES LETTER


 OC00000007528853

KRAMER LEVIN NAFTALIS & FRANKEL LLP
 919 THIRD AVENUE
 NEW YORK, NY 10022

Date Mailed: 02/25/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$504.
 - \$252 for 14 total claims over 20.
 - \$252 for 3 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1374.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/18/2002 MGEBREM1 00000123 10054702

01 FC:101	740.00 0P
02 FC:102	252.00 0P
03 FC:103	252.00 0P
04 FC:105	130.00 0P